



PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Douglas J. Hilton

**Docket:** 10296A

**Serial No.:** 09/532,263

**Dated:** June 26, 2000

**Filed:** March 22, 2000

**For:** A NOVEL HAEMOPOIETIN  
RECEPTOR

Assistant Commissioner for Patents  
Washington, DC 20231

#3  
MJC  
8/19/00

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following disclosures, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

Gorman, et al. (July 1990) "Cloning and Expression of a Gene Encoding an Interleukin 3 Receptor-Like Protein: Identification of Another Member of the Cytokine Receptor Gene Family" Proc. Natl. Acad. Sci. USA 87:5459-5463.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on June 26, 2000.

**Dated:** June 26, 2000

Janet Giordano  
Janet Giordano

Hilton, et al. (1994) "Cloning of a Murine IL-11 Receptor  $\alpha$ -Chain; Requirement for gp130 for High Affinity Binding and Signal Transduction" The EMBO Journal 13(20):4765-4775.

Itoh, et al. (January 19, 1990) "Cloning of an Interleukin-3 Receptor Gene: A Member of a Distinct Receptor Gene Family" Science 247:324-327.

Tashiro, et al. (July 30, 1993) "Signal Sequence Trap: A Cloning Strategy for Secreted Proteins and Type I Membrane Proteins" Science 261:600-601.

WO 91/18982 issued December 12, 1991.

WO 93/18047 issued September 16, 1993.

Pursuant to 37 C.F.R. §1.98(d), copies of the above listed publications are not provided as the references were previously submitted to the Examiner in connection with the parent application, Serial No. 08/702,665, filed on September 5, 1995.

Applicant is also enclosing a copy of a Search Report issued relative to the counterpart application, International Application No. PCT/AU95/00578.

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herewith may be material to the examination of the present application as defined under 35 U.S.C. §1.56(a).

Inasmuch as this Information Disclosure Statement is being filed prior to the issuance of a first official action, no fee or certification is due.

Respectfully submitted,



Frank S. DiGiglio  
Registration No. 31,346

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
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PIB:bb

Form PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEAtty. Docket No.  
10296ASerial No.  
09/532,263**LIST OF PRIOR ART  
CITED BY APPLICANT**

(Use several sheets if necessary)

Applicant  
Douglas J. HiltonFiling Date  
March 22, 2000

Group

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK	WO 91/18982	12/12/91					
	AL	WO 93/18047	09/16/93					
	AM							
	AN							
	AO							

**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AP	Gorman, et al. (July 1990) "Cloning and Expression of a Gene Encoding an Interleukin 3 Receptor-Like Protein: Identification of Another Member of the Cytokine Receptor Gene Family" <u>Proc. Natl. Acad. Sci. USA</u> 87:5459-5463.
	AR	Hilton, et al. (1994) "Cloning of a Murine IL-11 Receptor $\alpha$ -Chain; Requirement for gp130 for High Affinity Binding and Signal Transduction" <u>The EMBO Journal</u> 13(20):4765-4775.
	AS	Itoh, et al. (January 19, 1990) "Cloning of an Interleukin-3 Receptor Gene: A Member of a Distinct Receptor Gene Family" <u>Science</u> 247:324-327.
	AT	Tashiro, et al. (July 30, 1993) "Signal Sequence Trap: A Cloning Strategy for Secreted Proteins and Type I Membrane Proteins" <u>Science</u> 261:600-601.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

GAV 1643

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) and 1.97(c))		Docket No. 10296A	
In Re Application Of: <b>Douglas J. Hilton</b>			
Serial No. 09/532,263	Filing Date March 22, 2000	Examiner	Group Art Unit
Title: <b>A NOVEL HAEMOPOIETIN RECEPTOR</b>			
<b>RECEIVED</b> JUN 30 2000 TECH CENTER 1600/2900			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
<b>37 CFR 1.97(b)</b>			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.			
<b>37 CFR 1.97(c)</b>			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:			
1. a Final Action under 37 CFR 1.113, or			
2. a Notice of Allowance under 37 CFR 1.311,			
whichever occurs first.			
Also submitted herewith is:			
<input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);			
<b>OR</b>			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
10296A

In Re Application Of: **Douglas J. Hilton**

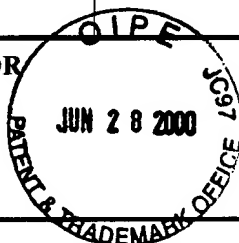
Serial No.  
09/532,263

Filing Date  
March 22, 2000

Examiner

Group Art Unit

Title: **A NOVEL HAEMOPOIETIN RECEPTOR**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on

(Date)

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 6/26/00 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Janet Giordano*  
Signature of Person Mailing Correspondence

Janet Giordano

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*Frank S. DiGiglio*  
Signature

Dated: June 26, 2000

Frank S. DiGiglio  
Registration No.: 31,346

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